

## UNIVERSITY OF KALYANI

Amendments of the Kalyani University (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2021' that has been approved in the 4<sup>th</sup> meeting of the 10<sup>th</sup> Executive Council held on 15/09/2021:

Existing Kalyani University (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2021	<b>Amended</b> Kalyani University (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2021
Preamble  {In supersession of the Kalyani University (Admission, Registration, Course-Work, Examination and Award of Ph.D. Degree) Regulations, 2016}	Preamble  {In supersession of the Kalyani University (Admission, Registration, Course-Work, Examination and Award of Ph.D. Degree) Regulations, <b>2014 and 2016, subject to the provisions of sub-clause 13.1 of these Regulations</b> }
<b>2. (10) “Research Advisory Committee”</b> means Departmental Research Advisory Committee (DRAC).	<b>2. (10) “Departmental Research Committee (DRC)”</b> means the <b>Research Committee at the Departmental Level of the University.</b>
<b>5. Procedure for admission:</b> <b>5.2.</b> The University shall admit students who have qualified UGC-NET (including JRF)/ UGC-CSIR-NET (including JRF)/ SLET/ SET/ GATE/ have appointed in University Research Fellowship programme (URS)/ have passed M.Phil. or Teachers fellowship holders as per stipulation of clause 5.1 of the Section 5 above. But, they shall be exempted from the Written test part of RET based on affirmative recommendation of DRAC and assent of hon’ble Vice-Chancellor. Admission of those students shall be governed strictly by the relevant regulations as stipulated under clauses 5.3, 5.4, 5.5, 5.6, 5.7 and 5.8 below.	<b>5. Procedure for admission:</b> <b>5.2. Students/ applicants</b> who have qualified UGC-NET (including JRF)/ UGC-CSIR-NET (including JRF)/ SLET/ SET/ GATE/ have appointed in University Research Fellowship programme (URS)/ have passed M.Phil. or Teachers fellowship holders ( <b>regular whole-time teachers holding substantive posts</b> ) shall be exempted from the written part of RET based on affirmative recommendation of <b>DRC</b> and assent of the Hon’ble Vice-Chancellor. Admission of those students shall be governed strictly by the relevant provisions of these regulations.
<b>5.5.2.</b> The RET shall have two papers: <b>5.1-01 (Written Test):</b> 50*(25 Marks on Research Methodology+25 Marks on Specific Subject) *[Pattern of questions: preferably multiple choice questions (single/multi-select)/ rank order scaling questions/ text slider questions/likert scale questions/ stapel scale questions/ constant sum questions/demographic questions/ analytical questions/ any other types of questions as selected fit by respective RAC–	<b>5.5.2.</b> The RET shall have two <b>parts:</b> <b>5.1-01 (Written Test):</b> 50*(25 Marks on Research Methodology+25 Marks on Specific Subject) *[Pattern of questions: preferably multiple choice questions (single/multi-select)/ rank order scaling questions/ text slider questions/likert scale questions/ stapel scale questions/ constant sum questions/demographic questions/ analytical questions/ any other types of questions as selected fit by respective RAC–

<p>carrying 1/2/3/4/ marks each.]</p> <p><b>5.1-02 (Interview/Viva-Voce): 50**</b>  **15 Marks : for Research methodology  15 Marks : for Subject Specific  20 Marks : presentation on proposed research and competence</p>	<p>carrying 1/2/3/4/ marks each.]</p> <p><b>5.1-02 (Interview/Viva-Voce): 50</b>  <b>The interview/ viva voce shall be organized in the Department through institutional notification when the candidates shall be required to discuss their research interest/ area/ research methodology through a presentation before the DRC (including academic marks and research publication).</b></p>
<p><b>5.5.6.</b> The Controller of Examinations of the University shall conduct RET adhering the Examination Rules framed by the EC of the University based on these Regulations.</p>	<p><b>5.5.6.</b> The Controller of Examinations of the University shall conduct RET (<b>written Test Part</b>) adhering the Examination Rules framed by the EC of the University based on these Regulations.</p>
	<p><b>5.9.</b> The Secretary, Faculty Councils, Post Graduate Studies, shall arrange to publish the advertisement for RET, and prepare the merit list, and also arrange for admission of the Ph.D. students on the recommendation of the DRCs, and duly approved by the Vice-chancellor, of all Ph.D. programmes in the University.</p>
<p><b>6. Allocation of Research Supervisor:</b>  <b>6.1.</b> Any full time regular Professor (in substantive post) of the University with at least five research publications in refereed journals and any full time regular Associate/Assistant Professor (in substantive post) of the University with a Ph.D. degree and at least two research publications in refereed journals may be recognized as Research Supervisor.</p>	<p><b>6. Allocation of Research Supervisor:</b>  <b>6.1.</b> Any full time regular Professor (in substantive post) of the University/<b>Affiliated Govt./Govt.-Aided College</b> with at least five research publications in refereed journals and any full time regular Associate/Assistant Professor (in substantive post) of the University/<b>Affiliated Govt./Govt.-Aided College</b> with a Ph.D. degree and at least two research publications in refereed journals may be recognized as Research Supervisors.</p>
<p><b>6.5</b> A Research Supervisor/Co-Supervisor who is a Professor, at any given point of time, cannot guide more than Eight (8) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of six (6) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum four (4) Ph.D. scholars. The Ph.D. berth/position under a Research Supervisor/Co-Supervisor shall be counted as vacant by the DRAC w.e.f. the date of submission of thesis by the Ph.D. Scholar.</p>	<p><b>6.5</b> <b>If the Research Supervisor/Co-Supervisor is a Professor, the DRC shall not recommend admitting at any given point of time, more than Eight (8) Ph.D. scholars. In case of an Associate Professor such maximum number shall be six(6) and in case of an Assistant Professor such maximum number shall be four(4).</b> The Ph.D. berth/position under a Research Supervisor/Co-Supervisor shall be counted as vacant by the <b>DRC</b> w.e.f. the date of submission of thesis by the Ph.D. Scholar.</p>

<p>7.3 The DRAC concerned shall frame the Course-syllabus adhering the course-framework as stipulated in clause 7.2 of section 7 above and send the same to the Member Secretary of the Board of Research Studies (BoRS) for recommendation of the BoRS. Subsequently, the Member Secretary of BoRS shall forward the recommended syllabus to the Office of Vice-Chancellor for approval of the EC of the University.</p>	<p><b>7.3</b> The DRC concerned shall frame the Course-syllabus adhering the course-framework as stipulated in sub-clause 7.2 of Clause 7 above <b>and send it to the Secretary, Faculty Council of Post Graduate Studies for necessary approval.</b></p>
<p><b>7.9.</b> Course-work of the Ph.D. programme [excluding the Paper 7(01-RM/A)] shall be conducted in a discipline specific way by the respective Department based on these Regulations, and Rules framed from it by the EC of the University.</p>	<p><b>7.9.</b> Course-work of the Ph.D. programme [excluding the Paper 7(01-RM/A)] shall be conducted in a discipline specific way by the respective Department based on these Regulations, and Rules framed from it by the EC of the University. <b>The Course-work of the Paper 7(01-RM/A) shall be conducted at Faculty level (controlled centrally).</b></p>
<p><b>7.10</b> The Controller of Examinations of the University shall conduct the examination part of the Course-work of all Ph.D. programmes based on stipulations as stated in clause 7.2 above adhering the Rules framed by the EC based on these Regulations and issue the Marksheet and/or Certificate to all eligible Ph.D. students with the concurrence of Pro-Vice-Chancellor of the University.</p>	<p><b>7.10</b> The Controller of Examinations of the University shall conduct the examination part of the Course-work of all Ph.D. programmes based on stipulations as stated in clause 7.2 above adhering the Rules framed by the EC based on these Regulations and issue the Marksheet and/or Certificate to all eligible Ph.D. students.</p>
<p><b>8. Research Advisory Committee and its functions:</b>  <b>8.1.</b> There shall be a Research Advisory Committee (RAC) in each Department composed of:  <b>8.1.1.</b> The Dean* of the Faculty (Chairperson),  <b>8.1.2.</b> The Head of the Department concerned (Convenor),  <b>8.1.3.</b> Three (03) senior most Professors/Teachers having Ph.D. of the Department (Members), and  <b>8.1.4.</b> Three (03) external subject experts (Members).  The external experts shall not be below the rank of Professor of other Universities/Higher Educational Institutes (HEIs). Out of three external subject experts, one expert shall be nominated by the Vice-Chancellor; and the other two external experts shall be selected by the Vice-Chancellor from a panel of six names</p>	<p><b>8. Departmental Research Committee and its functions:</b>  <b>8.1.</b> There shall be a <b>Departmental Research Committee (DRC)</b> in each Department composed of:  <b>8.1.1.</b> The Dean* of the <b>concerned</b> Faculty (Chairperson),  <b>8.1.2.</b> The Head of the Department concerned (Convenor),  <b>8.1.3. All the regular teachers (appointed in a substantive basis) of the Department (Members),</b> and  <b>8.1.4.</b> Three (03) external subject experts (Members).  The external experts shall not be below the rank of Professor of other Universities/Higher Educational Institutes (HEIs). Out of three external subject experts, one expert shall be nominated by the Vice-Chancellor; and the other two external experts shall be selected by the Vice-Chancellor from a panel of six names</p>

<p>prepared by the RAC in its first meeting (excluding the members as stipulated in sub-clause 8.1.4 and 8.1.5). *(The Vice-Chancellor shall nominate one of the senior most members (external/internal) of the RAC as Chairperson if the position of Dean of Faculty remains vacant)</p>	<p>prepared by the <b>DRC</b> in its first meeting. *(The Vice-Chancellor shall nominate one of the senior most members (external/internal) of the <b>DRC</b> as Chairperson if the position of Dean of Faculty remains vacant)</p>
<p><b>8.3.1.</b> To recommend a panel of names for Paper setters, Moderators, Evaluators, and Viva-Voce examiners etc. for RET and Ph.D. Course-work examinations to the office of Pro-Vice-Chancellor for approval (to be submitted through the office of Controller of Examinations).</p>	<p><b>8.3.1.</b> The <b>DRC</b> shall recommend a panel of Paper setters, Moderators, Evaluators, and Viva-Voce examiners etc. for RET and Ph.D. Course-work examinations, to the office of <b>the Controller of Examinations for approval by appropriate authority.</b></p>
<p><b>8.3.2.</b> To frame syllabus for RET and one semester Ph.D. Course-Work [excluding the Paper 7(01-RM/A and 7(04-ALC/B)] adhering the course-framework as stipulated in clause 7.2 of these Regulations for approval of the Executive Council of the University (to be submitted through Board of Research Studies).</p>	<p><b>8.3.2</b> The syllabus for RET and Ph.D. Course-work shall be framed by the <b>DRC</b> and shall send to the office of the <b>Secretary, Post Graduate Faculty Councils, for approval of the Hon'ble Vice-Chancellor.</b></p>
<p><b>8.3.3</b> To prepare a merit list of candidates for admission to Ph.D. programme in the Department adhering the criteria as stipulated in relevant clauses of section 5 of these Regulations for approval of the Board of Research Studies before transmitting the list to the office of Registrar/Admission Section of the University for Admission.</p>	<p><b>8.3.3</b> To prepare a merit list of candidates for admission to Ph.D. programme in the Department, adhering the criteria as stipulated in relevant clauses of section 5 of these Regulations, <b>and send it to the Secretary, Faculty Councils, Post-Graduate Studies, for approval of the Hon'ble Vice-Chancellor</b> before transmitting the list to the office of Registrar/Admission Section of the University for Admission.</p>
<p><b>8.3.4</b> To allocate Research Supervisor(s) to the admitted candidates adhering the relevant clauses of the clause 6 of these Regulations and to forward the same to the Board of Research studies for approval.</p>	<p><b>8.3.4</b> To allocate Research Supervisor(s) to the admitted candidates adhering the relevant clauses of the clause 6 of these Regulations and to forward the same to <b>the Secretary, Faculty Councils, Post-Graduate Studies, for approval of the Hon'ble Vice-chancellor.</b></p>
<p><b>8.3.5</b> To review the plan of research (the plan of research project providing the title of the thesis, rationale for the research, the research objectives, the proposed method for data/information collection and recording formats and/or questionnaires and interviewed guides etc. within 1000 words) and submitted filled in application form for registration for</p>	<p><b>8.3.5</b> To review the plan of research (the plan of research project providing the title of the thesis, rationale for the research, the research objectives, the proposed method for data/information collection and recording formats and/or questionnaires and interviewed guides etc. within 1000 words) and submitted filled in application form for registration for finalizing the topic of</p>

<p>finalizing the topic of research and recommending the same for approval to the Board of research Studies of the University for registration within one year from the date of admission to the Ph.D. programme and after the completion of Ph.D. course work of the Ph.D. scholar or research scholar.</p>	<p>research and recommending the same for approval to the Board of research Studies of the University for registration within <b>two years</b> from the date of admission to the Ph.D. programme and after the completion of Ph.D. course work of the Ph.D. scholar or research scholar.</p>
<p><b>8.3.11. Departmental Research Committee (DRC)</b> shall conduct public <i>viva-voce</i> of the Ph.D. scholar to defend his/her Ph.D. thesis with a thorough presentation, subject to the recommendation of Board of Research Studies for conducting the <i>viva-voce</i> examination based on satisfactory thesis evaluation reports.</p> <p>The Chairperson of the concerned <b>DRC</b> shall submit a report about satisfactory performance of the Ph.D. scholar in the <i>viva-voce</i> examination in a stipulated format to the Board of Research Studies of the University for considering his/her thesis for Award of Ph.D. degree of the University.</p>	<p><b>8.3.11. Departmental Research Committee (DRC)</b> shall conduct public <i>viva-voce</i> of the Ph.D. scholar to defend his/her Ph.D. thesis with a thorough presentation, subject to the <b>approval of the Vice-chancellor</b> for conducting the <i>viva-voce</i> examination based on satisfactory thesis evaluation reports.</p> <p>The Chairperson of the concerned <b>DRC</b> shall submit a report about satisfactory performance of the Ph.D. scholar in the <i>viva-voce</i> examination in a stipulated format to the <b>Registrar</b> of the University for <b>necessary approval</b> for Award of Ph.D. degree of the University.</p>
	<p><b>8.3.12. At least 3 days’ notice period shall be required for holding a meeting of the DRC. To form the quorum, at least 50% of the members of the DRC shall be required to be present in the meeting.</b></p>
<p><b>9. Board of Research Studies (BoRS) and its functions:</b> (The entire provisions of the Clause 9. i.e. sub-clause 9.1. to 9.3.10).</p>	<p><b>9. Board of Research Studies (BoRS) and its functions:</b> <b>The constitution of the Board of Research Studies and its functions shall be guided by the University Ordinance U.Ord. 62 (Adm.) and U.Ord. 63 (Adm.) respectively.</b></p>
<p><b>10.8.</b> The Ph.D. section of the Registrar’s Dept. shall receive thesis from a Ph.D. scholar for adjudication subject to the fulfilment of all terms and conditions as stipulated under clause 10.7 above and permission for submitting thesis from the Pro-Vice-Chancellor of the University on recommendation of the Secretary, Faculty Councils (PG) and the Controller of Examinations of the University.</p>	<p><b>10.8.</b> The Ph.D. section of the Registrar’s Dept. shall receive thesis from a Ph.D. scholar for adjudication subject to the fulfilment of all terms and conditions as stipulated under clause 10.7 above.</p>
<p><b>10.11.</b>The Vice-Chancellor (and the Chairman of BoRS) shall nominate two examiners from the panel of names to set it aside for “within the State”, and two</p>	<p><b>10.11.</b> The Vice-Chancellor (and the Chairman of BoRS) shall nominate <b>one</b> external examiner “within the State of West Bengal”, and <b>one</b> examiner “outside the</p>

<p>examiners from the panel of names to set it aside for “outside the State/ Country” from the panel as stipulated in clause 10.9 and 10.10 above in order of his/her preference.</p>	<p>State/ Country” from the panel of experts as suggested by the supervisor (10.9 above) and as finalized by the Board of Research Studies (9.3.7/8 above) in order of his/her preference for adjudication/ examination of the thesis.</p>
<p><b>13. Award of Ph.D. Degrees prior to Notification of these Regulations, and degrees awarded by foreign Universities:</b>  <b>13.1.</b> Award of degrees to candidates registered for the Ph.D. programme on or after 2016 till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and procedure for Awards of Ph.D. Degree) Regulations, 2016 and the University Regulations, 2016.</p>	<p><b>13. Applicability of Ph.D. Regulations prior to Notification of these Regulations, and degrees awarded by foreign Universities:</b>  <b>13.1. Ph.D. Regulations of 2021 are applicable from the date of the Notification issued by the University in this respect. However, if a Scholar, who took admission under the Ph.D. Regulations of 2016 or 2014, is faced with any hardship in any point in the Ph.D. Regulations of 2021, he/she may exercise option for the corresponding point in the Ph.D. Regulations of 2016 or 2014, as the case may be. In that case, on the basis of application by the scholar, the DRC will recommend the same for approval of the Vice-chancellor.</b></p>
	<p><b>17. Removal of difficulties:</b>  The opinion of the Vice-Chancellor shall be final, subject to the provisions of the Kalyani University Act (amended up to date), 1981, Statutes, Ordinances, for any case which is considered not covered by these regulations, or if there is any anomaly in these regulations.</p>
<p><b>Where ever in the Ph.D. Regulations of 2021, there is a mention of Departmental Research Advisory Committee/ Research Advisory Committee/ DRAC, it will be substituted by Departmental Research Committee/ DRC.</b></p>	
<p><b>Anomalies, if any, detected subsequently in these Regulations will be corrected by the Hon’ble Vice-chancellor.</b></p>	